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Army Regulation 381-100

Military Intelligence

**Army Human
Intelligence
Collection Programs (U)**

Headquarters
Department of the Army
15 May 1988

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15 May 1988

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AR 381-100

Headquarters
Department of the Army
Washington, DC
15 May 1988

*Department of the Army
AR 381-100

Effective 15 June 1988

Military Intelligence

Army Human Intelligence Collection Programs (U)

(U) **Summary.** This regulation is a consolidation of several regulations that provide the administration and guidance for various intelligence collection programs. It prescribes the policies, responsibilities, procedures for, and restrictions on, the conduct of Human Resources Intelligence (HUMINT) collection by members and employees of the U.S. Army. This regulation further implements DOD Directive S-5205.1 and prescribes the safeguarding of information pertaining to certain HUMINT activities. Specifically, it establishes the Foreign Military Intelligence Collection Activities Program. b2

b2

(U) **Applicability.** This regulation applies to members of the Active Army, members of the U.S. Army Reserve on active duty, active duty for training, or special active duty for training, and DA civilian employees involved with Army foreign military intelligence collection. It does not apply to the Army National Guard (ARNG) (except when federalized) or to members of the U.S. Army Reserve (USAR) not on active duty.

(U) **Impact on New Manning System.** This regulation does not contain information that affects the New Manning System.

(U) **Internal control systems.** This regulation is not subject to the requirements of AR 11-2. It does not contain internal control provisions.

(U) **Supplementation.** Supplementation of this regulation and establishment of command or local forms are prohibited without prior approval from b2

(U) **Interim changes.** Interim changes to this regulation are not official unless they are authenticated by The Administrative Assistant to the Secretary of the Army. Users will destroy interim changes on their expiration dates unless sooner superseded or rescinded.

(U) **Suggested improvements.** The proponent agency of this regulation is the Office of the Deputy Chief of Staff for Intelligence. Users are invited to send comments and suggested improvements on DA Form 2028 (Recommended Changes to Publications and Blank Forms) (directly to b2

(U) **Distribution restriction.** This publication contains sensitive operational information which is not releasable to foreign governments. Distribution is limited to U.S. Government agencies. Requests from outside the U.S. Government for release of this publication under the Freedom of Information Act or the Foreign Military Sales Program must be made to b2

*This regulation together with DA Pam 381-15, DA Pam 381-21, DA Pam 381-155, and DA Pam 381-18 supersedes AR 381-100, 1 August 1981; AR 381-15, 1 January 1979; AR 381-21, 10 January 1988; AR 381-155, 31 October 1985; and AR 381-25, 15 March 1980.

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Chapter 1

Introduction

1-1. (C) Purpose

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b. (U) The DCSINT has designated the Commanding General, Intelligence and Security Command (CG, INSCOM), ATTN: IAOPS-H, Arlington Hall Station, Arlington, VA 22212, to serve as the DA Service HUMINT Manager (SHM). The CG, INSCOM will perform DA centralized HUMINT Management functions.

1-5. (U) Authority

a. (U) Paragraph 1.12, Executive Order 12333, Intelligence Activities, 4 Dec 81, charges the military services with the responsibility to collect military and military-related foreign intelligence.

1-2. (U) References

Required and related publications and referenced forms are listed in appendix A.

1-3. (U) Explanation of abbreviations and terms

Abbreviations and special terms used in this regulation are explained in the glossary.

1-4. (U) Responsibilities

a. (U) Deputy Chief of Staff for Intelligence (DCSINT) will—

(1) (U) Ensure that all HUMINT operations and activities are conducted in accord with AR 381-10.

b1

b1

d. (U) AR 381-10 provides DA policy on HUMINT activities.

1-6. (U) Policy

b1

b1

b1

Chapter 2**Human Intelligence Collection Operations****2-1. (U) General****b1****b1**

c. (U) AR 381-10 implements and expands on DOD 5240.1-R as follows:

(1) (U) Gives overall guidance on intelligence activities conducted by the U.S. Army.

(2) (U) Sets forth procedures for the collection, storage, and dissemination of information about U.S. persons.

(3) (U) Clarifies Procedure 10 about undisclosed participation by employees of DOD intelligence components in organizations.

b1

(1) (U) Programming and budgeting.

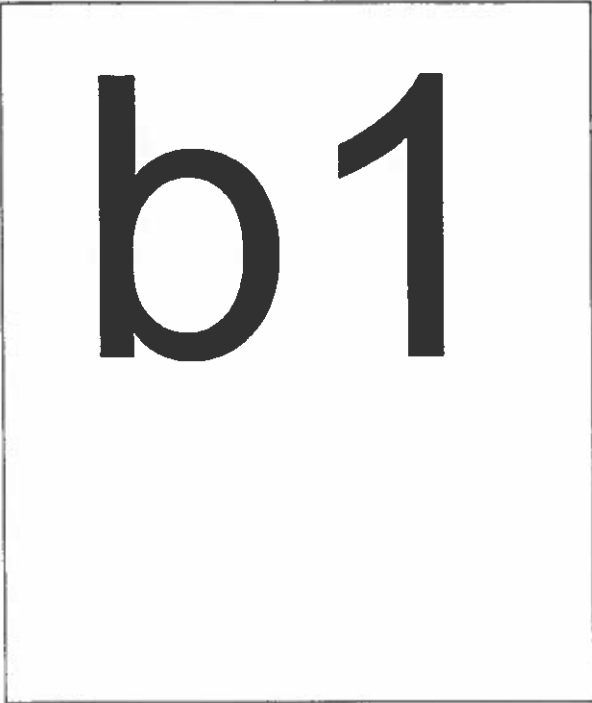
(2) (U) Delineating separate procedures for approval.

(3) (U) Coordinating certain categories of HUMINT activities.

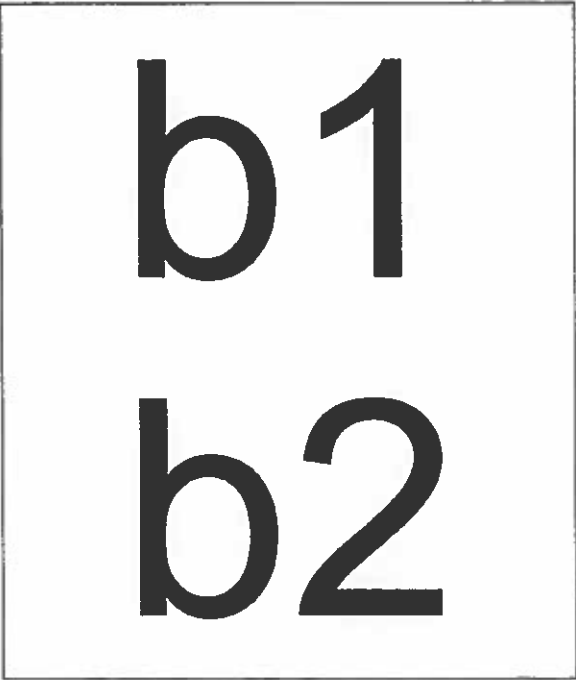
2-2. (U) HUMINT procedures, restrictions, and authorities

a. (U) Executive Order 12333, as implemented by AR 381-10, imposes restrictions and limitations on the conduct of intelligence collection to ensure that established concepts of privacy and civil liberties are respected.

b1



b1



b1
b2

2-3. (U) Responsibilities

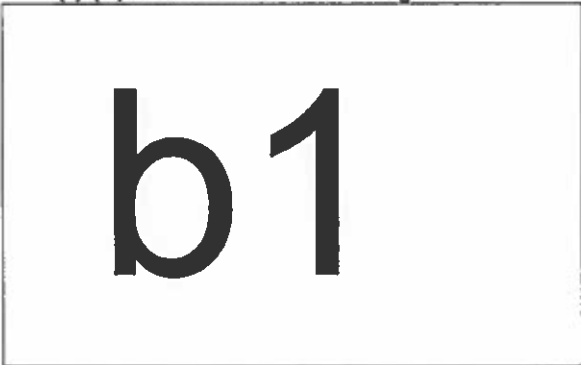
a. (U) DCSINT responsibilities remain as cited in paragraph 1-4a of this regulation.

b. (U) The Service HUMINT Manager (CG, INSCOM per para 1-4b) will provide program, operations, and technical management for DA HUMINT activities and organizations in support of U.S. Army and DOD information needs. The CG, INSCOM will perform the following in the categories designated:

(1) (U) Program management.

(f) (U) Plan and implement a surge capability to satisfy high priority information needs in crisis and war.

(3) (U) HUMINT technical management.



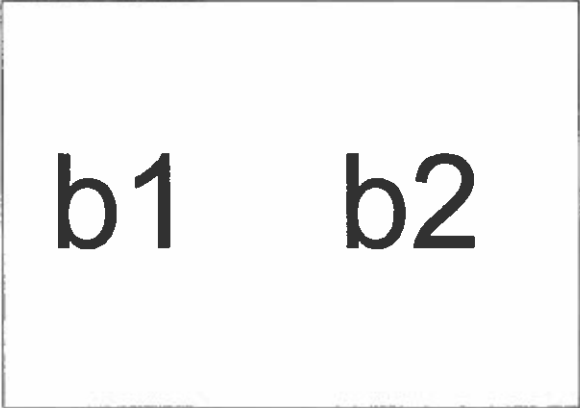
b1

(4) (U) Collection Support Operations of Common Concern.



b2

(2) (U) Operations management.



b1 b2

b1

b1

e. (U) Unified commanders overseas will—

b1 b2

b2

2-4. (U) HUMINT functions

HUMINT collection of intelligence usually consists of the following:

b1

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Chapter 3

Foreign Military Intelligence Collection Activities Program (U)

b1**b2**

(5) (U) Coordination with DIA, other U.S. intelligence agencies, and all CONUS and OCONUS Army commands and agencies concerning operational aspects of FORMICA.

b2

3-2. (U) FORMICA responsibilities

b1

(1)(U) Ensuring that all FORMICA is conducted in accord with AR 381-10.

(2) (U) Establishing policy and procedures for Army participation in FORMICA.

b2

(4) (U) Reviewing DA participation in FORMICA for compliance with established policy.

(b) (1) & (b) (3) per CIA

b1 b2

(8) ~~(C)~~ Forwarding proposed Memorandums of Understanding to ODCSINT **b1** for approval and coordination with the Army Staff and Secretariat.

b1
b2**b1 b2**

b1

b2

3-3. (U) FORMICA policy

b1

c. (U) All Army Staff elements and major Army commands will—

b1
b2

b2

3-4. (U) Additional Information

For specific procedural information on FORMICA operations, see DA Pam 381-15.

Chapter 4

b2

b1

b1

b. (U) Commanding General, U.S. Army Intelligence and Security Command will—

(1) (U) Establish and maintain coordination with other Army organizations regarding the conduct of b2 activities.

b1

b2

4-3. (U) b2

a. (U) The Deputy Chief of Staff for Intelligence will formulate and implement b2

b2 conduct general staff supervision of all relevant activities within DA, and ensure that all such activities are conducted in accord with AR 381-10. In addition, the DCSINT will—

b2

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b1

(8) (U) Query **b2** annually to ensure the provisions of *d* below are met.

c. (U) Commander, **b2**
b2 will—

b1

(4) (U) Ensure that—

b2**b1 b2**

e. (U) Commanding General, U.S. Army Forces Command will—

b1**4-4. (U) Additional Information**

For specific procedural information on **b2** operations, see **b2**

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Chapter 5

b2

(U)

5-1. (U) b2

b1

b2

(4) (U) Issue appropriate guidance concerning this regulation.

b1

(1) (U) Implement and coordinate b2 matters in cooperation with INSCOM.

b1

b2

5-3. (U) b2

a. (U) The Deputy Chief of Staff for Intelligence, Department of the Army will establish policy and exercise General Staff supervision over all Army activities related to the b2 and will ensure that all such activities are conducted in accord with AR 381-10.

b. (U) The CG, U.S. Army Intelligence and Security Command (INSCOM) will—

b2

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b1**b1****b. (U) Biographic policy.****b1****5-5. (U) Additional Information**

For specific procedural information on **b2** operations, see DA Pam 381-155.

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Chapter 6

b2

(U)

6-1. (C) b2 overview

b1

6-2. (U) b2 objective

b1

b. (U) The DIA established the b2 communications channel to handle and transmit the types of information included in, but not limited to, those listed below. b2

b2

b1

b1

6-3. (U) b2 policy

a. (U) All designated DA elements will use the b2 communications channel when transmitting communications containing information described in paragraph 6-2 above.

b. (U) Normally, b2 message traffic will be dispatched between b2 control points by General Service (GENSER) communications channels. Special Security Office (SSO) communications channels may be used at the discretion of local commanders and as required by local communications conditions. However, the use of the SSO channel is generally discouraged.

c. (U) b2 material will be given maximum protection under existing security regulations governing protection of b2

d. (U) Transmission of b2 communications in the b2 channel will—

- (1) (U) Protect the integrity of the channel.
- (2) (U) Ensure quick routing to the recipient.
- (3) (U) Limit distribution.

e. (U) The integrity and security of the b2 communications channel will be protected at all times.

b2

h. (U) This regulation does not authorize the transmittal of information if the acquisition, retention, or dissemination of the information is otherwise constrained or controlled by executive order or regulation.

6-4. (U) Army participation authority

b2

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6-5. (U) [b2] responsibilities

a. (U) The Deputy Chief of Staff for Intelligence will—

(1) (U) Establish policy and procedures for Army use of the [b2] communications channel.

(2) (U) Act as the [b2] single POC for the Army Staff.

b2**b2****6-6. (U) Additional Information**

For specific procedural information on [b2] operations, see DA Pam 381-16.

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Appendix A

References

Section I

(U) Required Publications

(U) AR 12-15 (Joint Security Assistance Training Regulation). Cited in paragraph 5-3e

b2

(U) AR 380-5 (Department of the Army Information Security Program). Cited in paragraph 6-1b(4).
(U) AR 381-10 (U.S. Army Intelligence Activities). Cited in paragraphs 1-4a(1), 1-5d, 1-6a, 1-6b, 2-2a, 2-2b, 2-3e, 3-2a(1), 3-2b, 3-3a, 4-3a, and 5-3a.
(U) AR 381-12 (Subversion and Espionage Directed Against the U.S. Army). Cited in paragraph 3-2c(5).
DA Pamphlet 381-16 (Safeguarding Information Pertaining to Certain HUMINT Activities (U)). Cited in paragraphs 6-1, 6-3f, and 6-6.

b2

(U) Defense Intelligence Agency Manual (DIAM) 58-13 (Defense Human Resources Collection Management Manual). Cited in paragraphs 1-6a, 2-1b, 2-3b(3)(a), 2-4(b), 3-2b(13), 5-3b(2), and 6-4.
(U) Defense Intelligence Agency Regulation (DIAR) 58-12 (Intelligence Collection—HUMINT Operational Coordination). Cited in paragraph 2-2d.
(U) DOD Directive 5105.29 (Intelligence Activities Using Human Sources). Cited in paragraphs 1-5b and 2-2k.
(U) DOD Directive S-5205.1 (Acquisition and Reporting of Information Relating to National Security). Cited in paragraphs 1-5b, 1-5c, 1-6b, 2-2d, and 3-3a.
(U) DOD Directive 5240.1-R (Procedures Governing the Activities of DOD Intelligence Components that Affect United States Persons). Cited in paragraphs 2-2b and 2-2c.

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b2**Section II****(U) Related Publications**

(U) AR 1-40	Clearance Requirements and Procedures for Official Temporary Duty Travel Outside Continental United States.
(U) AR 15-6	Procedures for Investigating Officers and Boards of Officers.
(U) AR 105-31	Record Communications.
(U) AR 140-1	Mission, Organization, and Training.
(U) AR 310-25	Dictionary of United States Army Terms.
(U) AR 340-21	The Army Privacy Program.
(U) AR 380-13	Acquisition and Storage of Information Concerning Non-affiliated Persons and Organizations.
(U) AR 381-20	U.S. Army Counterintelligence Activities.
(U) AR 381-102	Intelligence Operational Support Activities.
(U) AR 381-141	Provisions for Administration, Supervision, Control, and Use of Intelligence Contingency Funds.
(U) AR 381-143	Logistic Policies and Procedures.
(U) AR 630-5	All Ranks Personnel, Issue 8.

b2

(U) DOD Directive 5240.1	Activities of DOD Intelligence Components that Affected United States Persons.
(U) FM 30-17A	Counterintelligence Special Operations.
(U) FM 30-18	Intelligence Collection Operations.

b2

(U) TM 11-490-2	Army Communications Facilities: Telecommunications Center Operating Procedures.
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Section III**(U) Referenced Forms****b2**

Glossary

Section I

(U) Abbreviations

(U) AHCP Army HUMINT Collection Plan

b2

(U) CIR Continuing intelligence requirement
 (U) COMS Collection Operations Management System
 (U) CONUS Continental United States
 (U) DA Department of the Army

b2

(U) DCI Director Central Intelligence
 (U) DCSINT Deputy Chief of Staff for Intelligence
 (U) DIA Defense Intelligence Agency
 (U) DIS Defense Investigative Service
 (U) DOD Department of Defense

b2

(U) FBI Federal Bureau of Investigation
 (U) FMA Foreign Materiel Acquisition
 (U) FMPCP Foreign Military Personnel Contact Program

b2

(U) FORMICA Foreign Military Intelligence Collection Activities
 (U) FORSCOM U.S. Army Forces Command
 (U) GDIP General Defense Intelligence Program
 (U) GENSER General Service (communications channel)
 (U) HCP HUMINT Collection Plan

b2

(U) HUMINT Human Resources Intelligence
 (U) ICR Intelligence Collection Requirement
 (U) IIR Intelligence Information Report

b2

(U) INSCOM U.S. Army Intelligence and Security Command
 (U) IOP Intelligence Operational Proposal
 (U) MAAG Military Assistance Advisory Group
 (U) MACOM Major Army command
 (U) MCR Mission Change Report
 (U) MI military intelligence
 (U) MOU Memorandum of Understanding

b2

(U) NOFT/C Notification of Foreign/Travel Contact

b2

(U) OCONUS outside continental United States

b2

(U) OS On-site operation

b2

(U) POC Point of contact

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Glossary I

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(U) RTC	Request for Theater Clearance
b2	
(U) SCR	Significant Change Report
(U) SDR	source directed requirement
(U) SHA	Service HUMINT Agency
(U) SHM	Service HUMINT Manager
(U) SSN	social security number
(U) SSO	Special Security Office
b2	
(U) TRADOC	U.S. Army Training and Doctrine Command
(U) USAIRR	U.S. Army Investigative Records Depository

Section II**(U) Terms**

b2

aware, that he is providing such information to the Department of Defense or a component thereof.

b2

(U) Tasking

b2

(U) Overt operations

Methods of collection where by the source of the information being collected is advised, or is otherwise

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By Order of the Secretary of the Army:

CARL E. VUONO
General, United States Army
Chief of Staff

Official:

Milton H. Hamilton

MILTON H. HAMILTON
Administrative Assistant to the
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